

Certificate of Registration

INFORMATION SECURITY MANAGEMENT SYSTEM - ISO/IEC 27001:2013

This is to certify that:

Globe Tax Services, Inc.
One New York Plaza
34th Floor
New York
New York
10004-1936
USA

Holds Certificate No:

IS 549996

and operates an Information Security Management System which complies with the requirements of ISO/IEC 27001:2013 for the following scope:

The management of information security in the following areas, Data Proprietary Software Applications, Network Infrastructure used by the Executive, Human Resources, Systems, Finance, Marketing and Sales, Relationship Management, Product Management, Program Management, and Operations, including Depository, Central Securities Depository, and Issuer Services, Reclaim Services, EDS Assist, Client Services, Research, Tax Information Reporting Departments at Globe Tax Services, as per Statement of Applicability version 9 dated August 16, 2016.

For and on behalf of BSI:



Carlos Pitanga, Chief Operating Officer Assurance – Americas

Original Registration Date: 2010-03-30

Effective Date: 2019-03-30

Latest Revision Date: 2019-03-13

Expiry Date: 2022-03-29



Page: 1 of 1

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