



Employee Shareholder Plans

eCerts

eCerts is an electronic certification portal which supports withholding tax relief for employee shareholder plan participants. Using eCerts, plan administrators can now automate the withholding tax reclaim process for their participants. Once the service is initiated, employee shareholders can use eCerts to request pre-certification for the correct rate of withholding on future dividends. Employee holders are able to complete an application for a certification of residency, and other required documents, for a variety of popular markets.

GlobeTax will then engage with the plan custodian in order to obtain the applicable rate of entitlement on all future distributions. GlobeTax can also file retroactively for any outstanding eligible entitlements.

Features

With eCerts GlobeTax will:

- **Create, Track and Store** Certifications of Residency and other supporting documents. Examples include the IRS Form 8802 and Irish Forms V2 and V3 as well as the German Authorization Form
- **Support** elections by custodian for correct entitlements on future dividends (in available markets)
- **Maintain** certifications by monitoring ongoing documentation requirements and requesting additional information where needed
- **Renew** documentation on an ongoing basis

Users

eCerts is available for use by various ADR/Ordinary Share holders:

- **Employee Plans**
 - eCerts provides the mechanism to file for relief entitlements
 - Service may be engaged by plan administrator
- **Registered Holders**
 - eCerts may be utilized to provide shareholder relief on specific issues
 - Service may be engaged by the issuer

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London

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Milan

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Sydney

+ 61 (0)2 8667 3133



Access

eCerts is accessible through the GlobeTax website.

For more information, please contact GlobeTax

Email: Info@GlobeTax.com

Security

eCerts is password protected. Each user creates their own secure account.

A password is required to enter the system and create/view all documents.

All information submitted to and stored in eCerts is protected by a VeriSign Certificate with a minimum of 128-Bit Encryption.

About GlobeTax

Founded in 1992, GlobeTax specializes in the recovery of tax which has been over withheld on cross-border investment income, servicing clients in over 40 jurisdictions worldwide. GlobeTax provides a fully outsourced tax reclamation service for investors who hold both American Depository Receipts (ADRs) and foreign ordinary shares.

GlobeTax also acts as the sole outsource tax reclaim processing agent to the four US banks issuing ADRs: The Bank of New York Mellon, Citi, Deutsche Bank and JPMorgan Chase. GlobeTax maintains withholding tax research on over 230 jurisdictions worldwide and processes over two million tax reclaims per year, making GlobeTax the largest tax reclaim filer in the world.